

Appendix 1 - Audit Plan Monitoring 2016-17  
February 2017

	Directorate	Plan Days	Status	Fieldwork started	Report issued to Management	Finalised	Opinion: Level of Assurance	High Priority Recs	Medium Priority Recs	Low Priority Recs
Quarter 1 to 3										
Conflicts of interest	Governance	10	Final Report	n	n	n	Satisfactory	0	2	0
Depot Health & Safety	Neighbourhoods	12	Final Report	n	n	n	Limited	1	1	0
Pls - sickness absence (new audit)	Resources	6	Final Report	n	n	n	N/A	0	0	0
Corporate Procurement	Resources	15	Final Report	n	n	n	Satisfactory	0	1	1
Car Parking	Neighbourhoods	10	Final Report	n	n	n	Satisfactory	0	2	0
Cash and Banking	Resources	12	Final Report	n	n	n	Satisfactory	0	0	1
Housing Health & Safety - Gas Safety	Communities	12	Final Report	n	n	n	Satisfactory	0	1	1
Waste Management	Neighbourhoods	10	Final Report	n	n	n	Substantial	0	2	2
Treasury Management	Resources	10	Final Report	n	n	n	Satisfactory	0	0	1
Grants to Voluntary Organisations	Communities	8	In Progress	n						
Housing Voids	Communities	10	In Progress	n						
IT Disaster recovery	Resources	10	In Progress	n						
Quarter 4										
Electoral Registration	Governance	10	In progress							
Joint Working - Community Safety	Neighbourhoods	10	Scoping							
Planning Application Processes	Governance	8								
Enforcement	Neighbourhoods	10								
Project - Langston Road follow up	Neighbourhoods	5								
Project - New Homes	Communities	12								
Safeguarding	Communities	12								
Housing Rents follow up	Communities	5								
Payroll	Key Financial Control	12								
Business Rates follow up	Key Financial Control	5								
Council Tax follow up	Key Financial Control	5								
Recruitment and Selection	Resources	10								
Contract Management	Corporate	15								

[illegible]